

1. Name of Listed Entity: Swan Energy Limited
2. Scrip Code/Name of Scrip/Class of Security: SWANENERGY
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Mar-2022
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (Calculate as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including, Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: y	Total								
A	Promoter & Promoter Group	15	169148000	0	0	169148000	64.09	169148000	0	169148000	64.09	0	64.09	10648000	6.3	24272000	14.35	169148000
B	Public	9914	94769000	0	0	94769000	35.91	94769000	0	94769000	35.91	0	35.91	9041000	9.54			93888900
C	Non Promoter-Non Public	0	0	0	0	0		0	0	0	0	0		0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0		0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0		0	0	0	0	0		0	0			0
	Total	9929	263917000	0	0	263917000	100	263917000	0	263917000	100	0	100	19689000	7.46	24272000	9.2	263036900

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
									Class X	Class Y	Total								
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals/Hindu undivided Family		3	86500	0	0	86500	0.03	86500	0	86500	0.03	0	0.03	0	0	0	0	86500
	VINITA NIKHIL MERCHANT	APZPM3319J	1	41500	0	0	41500	0.02	41500	0	41500	0.02	0	0.02	0	0	0	0	41500
	BHAVIK NIKHIL MERCHANT	APYPM9298M	1	41000	0	0	41000	0.02	41000	0	41000	0.02	0	0.02	0	0	0	0	41000
	NIKHIL VASANTLAL MERCHANT	AAEPM1722D	1	4000	0	0	4000	0	4000	0	4000	0	0	0	0	0	0	0	4000
b	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Any Other (specify)		12	169061500	0	0	169061500	64.06	169061500	0	169061500	64.06	0	64.06	10648000	6.3	24272000	14.36	169061500
	Bodies Corporate		12	169061500	0	0	169061500	64.07	169061500	0	169061500	64.07	0	64.07	10648000	200	24272000	52.73	169061500
	DAVE IMPEX PRIVATE LIMITED	AAACD1314M	1	46030400	0	0	46030400	17.44	46030400	0	46030400	17.44	0	17.44	0	0	24272000	52.73	46030400
	SWAN REALTORS PRIVATE LIMITED	AAFCS3263D	1	41589000	0	0	41589000	15.76	41589000	0	41589000	15.76	0	15.76	0	0	0	0	41589000
	SWAN ENGITECH WORKS PRIVATE LIMITED	AAACS6331H	1	38402858	0	0	38402858	14.55	38402858	0	38402858	14.55	0	14.55	0	0	0	0	38402858
	DAVE LEASING AND HOLDINGS PRIVATE LIMITED	AABCD3185F	1	7440800	0	0	7440800	2.82	7440800	0	7440800	2.82	0	2.82	0	0	0	0	7440800
	SAHAJANAND	AACCS7368A	1	6610000	0	0	6610000	2.5	6610000	0	6610000	2.5	0	2.5	0	0	0	0	6610000

	SOAPS AND CHEMICALS PVT LTD																		
	MUSE ADVERTISING AND MEDIA PRIVATE LIMITED	AAGCM8950J	1	5339500	0	0	5339500	2.02	5339500	0	5339500	2.02	0	2.02	5339500	100	0	0	5339500
	VAKRATUND PLAZA PRIVATE LIMITED	AADCV4833E	1	5308500	0	0	5308500	2.01	5308500	0	5308500	2.01	0	2.01	5308500	100	0	0	5308500
	DHANKALASH TRADECOMM PRIVATE LIMITED	AADCD1886F	1	4000000	0	0	4000000	1.52	4000000	0	4000000	1.52	0	1.52	0	0	0	0	4000000
	FORCEFUL VINCOM PRIVATE LIMITED	AABCF3799H	1	4000000	0	0	4000000	1.52	4000000	0	4000000	1.52	0	1.52	0	0	0	0	4000000
	BANSHIDHAR TRADERS PRIVATE LIMITED	AADCB8055E	1	4000000	0	0	4000000	1.52	4000000	0	4000000	1.52	0	1.52	0	0	0	0	4000000
	INDERLOK DEALCOMM PRIVATE LIMITED	AACCI1061N	1	4000000	0	0	4000000	1.52	4000000	0	4000000	1.52	0	1.52	0	0	0	0	4000000
	SWAN INTERNATIONAL LIMITED	AAECS4774Q	1	2340442	0	0	2340442	0.89	2340442	0	2340442	0.89	0	0.89	0	0	0	0	2340442
	Sub-Total (A)(1)		15	169148000	0	0	169148000	64.09	169148000	0	169148000	64.09	0	64.09	10648000	6.3	24272000	14.35	169148000
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		15	169148000	0	0	169148000	64.09	169148000	0	169148000	64.09	0	64.09	10648000	6.3	24272000	14.35	169148000

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)	
								Class X	Class Y	Total									
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds/		1	358	0	0	358	0	358	0	358	0	0	0	0	0	0	0	358
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Foreign Portfolio Investors		10	22420126	0	0	22420126	8.5	22420126	0	22420126	8.5	0	8.5	0	0	0	0	22420126
	ARES DIVERSIFIED	AAHCA2144B	1	7683310	0	0	7683310	2.91	7683310	0	7683310	2.91	0	2.91	0	0	0	0	7683310
	ALBULA INVESTMENT FUND LTD	AAHCA3597Q	1	4995765	0	0	4995765	1.89	4995765	0	4995765	1.89	0	1.89	0	0	0	0	4995765
	HYPNOS FUND LIMITED	AACCH6785N	1	3475108	0	0	3475108	1.32	3475108	0	3475108	1.32	0	1.32	0	0	0	0	3475108
	DOVETAIL INDIA FUND CLASS 5	AAGCD0792B	1	2956570	0	0	2956570	1.12	2956570	0	2956570	1.12	0	1.12	0	0	0	0	2956570

	SHARES																	
	EOS MULTI STRATEGY FUND LTD	AADCE0686N	1	2905401	0	0	2905401	1.1	2905401	0	2905401	1.1	0	1.1	0	0		2905401
f	Financial Institutions/ Banks		7	106504	0	0	106504	0.04	106504	0	106504	0.04	0	0.04	0	0		6004
g	Insurance Companies		1	7510174	0	0	7510174	2.85	7510174	0	7510174	2.85	0	2.85	0	0		7510174
	LIFE INSURANCE CORPORATION OF INDIA	AAACL0582H	1	7510174	0	0	7510174	2.85	7510174	0	7510174	2.85	0	2.85	0	0		7510174
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (B)(1)		19	30037162	0	0	30037162	11.38	30037162	0	30037162	11.38	0	11.38	0	0		29936662
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
a	Individuals -		9433	4897451	0	0	4897451	1.85	4897451	0	4897451	1.85	0	1.85	29000	0.59		4214651
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		9432	4569251	0	0	4569251	1.73	4569251	0	4569251	1.73	0	1.73	29000	0.63		3886451
ii	Individual		1	328200	0	0	328200	0.12	328200	0	328200	0.12	0	0.12	0	0		328200

	shareholders holding nominal share capital in excess of Rs. 2 lakhs.																		
b	NBFCs registered with RBI		1	1200	0	0	1200	0	1200	0	1200	0	0	0	0	0			1200
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)		461	59833187	0	0	59833187	22.67	59833187	0	59833187	22.67	0	22.67	9012000	15.06			59736387
	Bodies Corporate		85	34612478	0	0	34612478	13.11	34612478	0	34612478	13.11	0	13.11	9012000	26.04			34572178
	KASTURI VINTRADE PRIVATE LIMITED	AADCK7022Q	1	10226083	0	0	10226083	3.87	10226083	0	10226083	3.87	0	3.87	0	0			10226083
	TIRUPATI AGENCIES PRIVATE LIMITED	AAACT3169Q	1	7695000	0	0	7695000	2.92	7695000	0	7695000	2.92	0	2.92	0	0			7695000
	MONTEGO REALITY PRIVATE LIMITED	AAGCM9266K	1	4583000	0	0	4583000	1.74	4583000	0	4583000	1.74	0	1.74	4583000	100			4583000
	MALBERRY INFRASTRUCTURE PRIVATE LIMITED	AAGCM8275J	1	4429000	0	0	4429000	1.68	4429000	0	4429000	1.68	0	1.68	4429000	100			4429000
	NEEDFUL DEALCOMM PRIVATE	AADCN5282H	1	4172531	0	0	4172531	1.58	4172531	0	4172531	1.58	0	1.58	0	0			4172531

LIMITED																		
Clearing Members		59	193132	0	0	193132	0.07	193132	0	193132	0.07	0	0.07	0	0			193132
HUF		179	285262	0	0	285262	0.11	285262	0	285262	0.11	0	0.11	0	0			285262
IEPF		1	668082	0	0	668082	0.25	668082	0	668082	0.25	0	0.25	0	0			668082
LLP		5	436817	0	0	436817	0.17	436817	0	436817	0.17	0	0.17	0	0			436817
Non-Resident Indian (NRI)		127	503716	0	0	503716	0.19	503716	0	503716	0.19	0	0.19	0	0			503716
Overseas Corporate Bodies		1	23077000	0	0	23077000	8.74	23077000	0	23077000	8.74	0	8.74	0	0			23077000
2I CAPITAL PCC	AAACZ2718B	1	23077000	0	0	23077000	8.74	23077000	0	23077000	8.74	0	8.74	0	0			23077000
Trusts		3	1900	0	0	1900	0	1900	0	1900	0	0	0	0	0			200
Unclaimed or Suspense or Escrow Account		1	54800	0	0	54800	0.02	54800	0	54800	0.02	0	0.02	0	0			0
Sub-Total (B)(3)		9895	64731838	0	0	64731838	24.53	64731838	0	64731838	24.53	0	24.53	9041000	13.97			63952238
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		9914	94769000	0	0	94769000	35.91	94769000	0	94769000	35.91	0	35.91	9041000	9.54			93888900



Table IV - Statement showing shareholding pattern of the Non-Promoter- Non-Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Nikhil V. Merchant	AAEPM1722D		India	51.6	51.6	0			
A	SWAN REALTORS PRIVATE LIMITED	AAFCS3263D		India	17.03	17.03		Yes	Yes	20-Feb-1992
B	SWAN ENGITECH WORKS PRIVATE LIMITED	AAACS6331H		India	15.72	15.72		Yes	Yes	20-Feb-1992
C	DAVE IMPEX PRIVATE LIMITED	AAACD1314M		India	18.85	18.85		Yes	Yes	20-Feb-1992

Table II- Unclaim Details

<b>Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.</b>	
<b>No. of shareholders</b>	<b>No of share held</b>

Table III- Unclaim Details

<b>Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.</b>	
<b>No. of shareholders</b>	<b>No of share held</b>

Table III- Person in Concert

<b>Details of the shareholders acting as persons in Concert including their Shareholding</b>			
<b>Name of shareholder</b>	<b>Name of PAC</b>	<b>No. of share</b>	<b>Holding %</b>