

General information about company	
Scrip code	503310
Name of the company	SWAN ENERGY LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	13	158500000			158500000	71.66	158500000		158500000	71.66
(B)	Public	6203	62680000			62680000	28.34	62680000		62680000	28.34
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	6216	221180000			221180000		221180000		221180000	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				71.66			40813000	25.75	158500000
(B)	Public				28.34					60929800
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total							40813000	18.45	219429800

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	3	86500			86500	0.04	86500		86500	0.04
(d)	Any Other (specify)	10	158413500			158413500	71.62	158413500		158413500	71.62
Sub-Total (A)(1)		13	158500000			158500000	71.66	158500000		158500000	71.66
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		13	158500000			158500000	71.66	158500000		158500000	71.66
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	1	785			785	0	785		785	0
(e)	Foreign Portfolio Investors	4	21254387			21254387	9.61	21254387		21254387	9.61
(f)	Financial Institutions/ Banks	9	1455009			1455009	0.66	1455009		1455009	0.66
(g)	Insurance Companies	3	5613090			5613090	2.54	5613090		5613090	2.54
Sub-Total (B)(1)		17	28323271			28323271	12.81	28323271		28323271	12.81
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	5833	4336585			4336585	1.96	4336585		4336585	1.96
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	1	1106852			1106852	0.5	1106852		1106852	0.5
(e)	Any Other (specify)	352	28913292			28913292	13.07	28913292		28913292	13.07
Sub-Total (B)(3)		6186	34356729			34356729	15.53	34356729		34356729	15.53
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		6203	62680000			62680000	28.34	62680000		62680000	28.34
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		6216	221180000			221180000	100	221180000		221180000	100
Total (A+B+C)		6216	221180000			221180000		221180000		221180000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				0.04			0	0	86500
(d)				71.62			40813000	25.76	158413500
Sub-Total (A)(1)				71.66			40813000	25.75	158500000
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				71.66			40813000	25.75	158500000
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0					785
(e)				9.61					21254387
(f)				0.66					1360109
(g)				2.54					5612790
Sub-Total (B)(1)				12.81					28228071
(3)	Non-institutions								
(a(i))				1.96					2760085
(a(ii))				0.5					1106852
(e)				13.07					28834792
Sub-Total (B)(3)				15.53					32701729
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				28.34					60929800
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					219429800
Total (A+B+C)							40813000	18.45	219429800

Individuals/Hindu undivided Family				
Serial No.	1	2	3	
Name of the Shareholders (I)	BHAVIK NIKHIL MERCHANT	VINITA NIKHIL MERCHANT	NIKHIL VASANTLAL MERCHANT	Click here to go back
PAN (II)	APYPM9298M	APZPM3319J	AAEPM1722D	Total
No. of fully paid up equity shares held (IV)	41000	41500	4000	86500
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	41000	41500	4000	86500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0.02	0	0.04
Number of Voting Rights held in each class of securities (IX)				
Class eg:X	41000	41500	4000	86500
Class eg:y				
Total	41000	41500	4000	86500
Total as a % of Total Voting rights	0.02	0.02	0	0.04
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.02	0.02	0	0.04
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)	0	0	0	0
As a % of total Shares held (b)	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	41000	41500	4000	86500
Reason for not providing PAN				

Reason for not providing PAN				
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Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	SWAN REALTORS PRIVATE LIMITED	DAVE IMPEX PRIVATE LIMITED	SWAN ENGITECH WORKS PRIVATE LIMITED	DAVE LEASING AND HOLDINGS PRIVATE L	SAHAJANAND SOAPS AND CHEMICALS PVT	SWAN INTERNATIONAL LIMITED	DHANKALASH TRADECOMM PRIVATE LIMITE
PAN (II)	AAFCS3263D	AAACD1314M	AAACS633IH	AABCD3185F	AACCS7368A	AAECS4774Q	AADCD1886F
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	41589000	46030400	38402858	7440800	6610000	2340442	4000000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	41589000	46030400	38402858	7440800	6610000	2340442	4000000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	18.8	20.81	17.36	3.36	2.99	1.06	1.81
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	41589000	46030400	38402858	7440800	6610000	2340442	4000000
Class eg:y							
Total	41589000	46030400	38402858	7440800	6610000	2340442	4000000
Total as a % of Total Voting rights	18.8	20.81	17.36	3.36	2.99	1.06	1.81
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=	18.8	20.81	17.36	3.36	2.99	1.06	1.81

(VII)+(X) As a % of (A+B+C2)							
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)	15530000	25283000	0	0	0	0	0
As a % of total Shares held (b)	37.34	54.93	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	41589000	46030400	38402858	7440800	6610000	2340442	4000000
Reason for not providing PAN							

Any Other (specify)				
Searial No.	8	9	10	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	FORCEFUL VINCOM PRIVATE LIMITED	BANSHIDHAR TRADERS PRIVATE LIMITED	INDERLOK DEALCOMM PRIVATE LIMITED	
PAN (II)	AABCF3799H	AADCB8055E	AACCI1061N	Total
No. of the Shareholders (I)	1	1	1	10
No. of fully paid up equity shares held (IV)	4000000	4000000	4000000	158413500
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	4000000	4000000	4000000	158413500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.81	1.81	1.81	71.62
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	4000000	4000000	4000000	158413500
Class eg:y				
Total	4000000	4000000	4000000	158413500
Total as a % of Total Voting rights	1.81	1.81	1.81	71.62
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.81	1.81	1.81	71.62
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)	0	0	0	40813000
As a % of total Shares held (b)	0	0	0	25.76

Number of equity shares held in dematerialized form (XIV)	4000000	4000000	4000000	158413500
Reason for not providing PAN				

Foreign Portfolio Investors					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	ALBULA INVESTMENT FUND LTD	ARES DIVERSIFIED	EOS MULTI STRATEGY FUND LTD	HYPNOS FUND LIMITED	Click here to go back
PAN (II)	AAHCA3597Q	AAHCA2144B	AADCE0686N	AACCH6785N	Total
No. of fully paid up equity shares held (IV)	5660800	8695809	3092000	3805778	21254387
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+(V)+(VI)	5660800	8695809	3092000	3805778	21254387
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.56	3.93	1.4	1.72	9.61
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	5660800	8695809	3092000	3805778	21254387
Class eg:y					
Total	5660800	8695809	3092000	3805778	21254387
Total as a % of Total Voting rights	2.56	3.93	1.4	1.72	9.61
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.56	3.93	1.4	1.72	9.61
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	5660800	8695809	3092000	3805778	21254387
Reason for not providing PAN					
Reason for not providing PAN					

Insurance Companies		
Serial No.	1	
Name of the Shareholders (I)	LIFE INSURANCE CORPORATION OF INDIA	Click here to go back
PAN (II)	AAACL0582H	Total
No. of fully paid up equity shares held (IV)	5612790	5612790
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	5612790	5612790
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.54	2.54
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	5612790	5612790
Class eg:y		
Total	5612790	5612790
Total as a % of Total Voting rights	2.54	2.54
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.54	2.54
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	5612790	5612790
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)					
Serial No.	1	2	3	4	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	
Name of the Shareholders (I)	KASTURI VINTRADE PRIVATE LIMITED	TIRUPATI AGENCIES PRIVATE LIMITED	NEEDFUL DEALCOMM PRIVATE LIMITED	SURYAKANCHAN VINIMAY PRIVATE LIMITE	Click here to go back
PAN (II)	AADCK7022Q	AAACT3169Q	AADCN5282H	AANCS1976K	Total
No. of the Shareholders (I)	1	1	1	1	0
No. of fully paid up equity shares held (IV)	7331309	7695000	5060000	5060000	0
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+(V)+ (VI)	7331309	7695000	5060000	5060000	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.31	3.48	2.29	2.29	0
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	7331309	7695000	5060000	5060000	0
Class eg:y					
Total	7331309	7695000	5060000	5060000	0
Total as a % of Total Voting rights	3.31	3.48	2.29	2.29	0
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.31	3.48	2.29	2.29	0
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized	7331309	7695000	5060000	5060000	0

form (XIV)					
Reason for not providing PAN					
Reason for not providing PAN					

