

General information about company

Scrip code	503310
NSE Symbol	SWANENERGY
MSEI Symbol	NOTLISTED
ISIN	INE665A01038
Name of the company	SWAN ENERGY LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Table I - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (XI)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XII) (a)	Shareholding, as a % assuming full conversion of convertible securities [as a percentage of diluted share capital] (XIII) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XIV)		Number of Shares pledged or otherwise encumbered (XV)		Number of equity shares held in dematerialized form (XVI)	
								No of Voting (XIV) Rights							Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: Y	Total										
(A)	Promoter & Promoter Group	13	158500000			158500000	64.89	15,85,00,000.00			15,85,00,000.00	64.89			25121385	15.98		158500000		
(B)	Public	6255	85757000			85757000	35.11	85757000.00			85757000.00	35.11						84764600		
(C)	Non Promoter- Non Public																			
(C1)	Shares underlying DRs																			
(C2)	Trusts																			
	Total	6268	244257000			244257000	100	244257000.00			244257000.00	100.00			25121385	10.37		243264600		

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (II)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (III)	Number of Voting Rights held in each class of securities(X)			No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)+(VI)-(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (DV)	
								No of Voting (Div)Rights		Total					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eF: X	Class eFY											
A Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																				
(1) Indian																				
(a)	Individuals (Other than venturist/ family)	3	86500			86500	0.04	86500.00		86500	0.04		0.04			0	0.00	86500		
(b)	Central Government/ State Government(s)																			
(c)	Financial Institutions/ Banks																			
(d)	Any Other Investor	10	158413500			158413500	64.86	158413500.00		158413500	64.86		64.86		25321385	15.98	158413500			
	Sub-Total (A)(1)	13	158500000			158500000	64.89	158500000.00		158500000	64.89		64.89		25321385	15.98	158500000			
(2) Foreign																				
(a)	Individuals (Other than resident individuals/ Foreign individuals)																			
(b)	Governments																			
(c)	Institutions																			
(d)	Foreign Portfolio Investor																			
(e)	Any Other Investor																			
	Sub-Total (A)(2)																			
	Total Shareholding of Promoter and Promoter Group (A)-(A)(1)-(A)(2)	13	158500000			158500000	64.89	158500000.00		158500000	64.89		64.89		25321385	15.98	158500000			
<i>Details of Shares which remain unclaimed for Promoter & Promoter Group</i>																				
B Table III - Statement showing shareholding pattern of the Public shareholder institutions <i>Note - Kindly show details of shareholders having more than one percentage of total no of shares. Please refer software manual.</i>																				
(1) Mutual Funds																				
(a)	Mutual Funds	3	20388			20388	0.01	20388		20388	0.01		0.01					20388		
(b)	Venture Capital Funds																			
(c)	Alternate Investment Funds																			
(d)	Foreign Venture Capital Investors																			
(e)	Foreign Portfolio Investors	9	19933927			19933927	8.16	19933927		19933927	8.16		8.16					19933927		
(f)	Financial Institutions/ Banks	7	106504			106504	0.04	106504		106504	0.04		0.04					6004		
(g)	Insurance Companies	1	8583094			8583094	3.51	8583094		8583094	3.51		3.51					8583094		
(h)	Pension Funds/ Pension Funds																			
(i)	Any Other Investor																			
	Sub-Total (B)(1)	20	28643913			28643913	11.73	28643913.00		28643913	11.73		11.73					28643913		
(2) Central Government/ State Government(s)/ President of India																				
	Sub-Total (B)(2)																			
(3) Non-Institutions																				
(a) Individuals -																				
(a)(i)	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	6525	4857977			4857977	1.99	4857977		4857977	1.99		1.99					4095577		
(a)(ii)	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.																			
(b)	NRI's registered with RBI																			
(c)	Employee Trusts																			
(d)	Overseas Depositories (holding DRs) (balancing figure)																			
(e)	Any Other Investor	410	52255110			52255110	21.39	52255110		52255110	21.39		21.39					52127610		
	Sub-Total (B)(3)	6935	57133087			57133087	23.38	57133087.00		57133087	23.38		23.38					56221387		
	Total Public Shareholding (B)-(B)(1)-(B)(2)-(B)(3)	6955	85757000			85757000	35.11	85757000.00		85757000	35.11		35.11					84766600		
<i>Details of the shareholders acting as persons in Concert for Public</i>																				
<i>Details of Shares which remain unclaimed for Public</i>																				
C Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder																				
(1) Venture Capital Funds, Private Equity Funds, Government Mutual Funds, Foreign Venture Capital Investors, Foreign Portfolio Investors, Insurance Companies, Pension Funds, Employee Trusts, Overseas Depositories (holding DRs) (balancing figure), Any Other Investor																				
(1)																				
(2)																				
	Total NonPromoter- Non Public Shareholding (C)-(C)(1)-(C)(2)																			
	Total (A+B+C)	6968	244257000			244257000	100.00	244257000.00		244257000	100.00		100.00					243264600		
	Total (A+B+C)	6968	244257000			244257000	100.00	244257000.00		244257000	100.00		100.00		25321385	10.37	243264600			

Serial No.	Category	Name of the Shareholders (I)	PAN (II)	No. of the Shareholders (I)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of	Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
								No of Voting (XIV) Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)			
								Class eg: X	Total								
A1(d)	Any Other (specify)																
	Add	Delete															
1	Bodies Corporate	DAVE IMPEX PRIVATE LIMITED	AAACD1314M	1	46030400	46030400	18.85	46030400.00	46030400.00	18.85		18.85	25321385	55.01	46030400		Promoter Group
2	Bodies Corporate	SWAN REALTORS PRIVATE LIMITED	AAFCS3263D	1	41589000	41589000	17.03	41589000.00	41589000.00	17.03		17.03	0	0.00	41589000		Promoter Group
3	Bodies Corporate	SWAN ENGITECH WORKS PRIVATE LIMITED	AAACS6331H	1	38402858	38402858	15.72	38402858.00	38402858.00	15.72		15.72	0	0.00	38402858		Promoter Group
4	Bodies Corporate	DAVE LEASING AND HOLDINGS PRIVATE LIMITI	AABCD3185F	1	7440800	7440800	3.05	7440800.00	7440800.00	3.05		3.05	0	0.00	7440800		Promoter Group
5	Bodies Corporate	SAHAJANAND SOAPS AND CHEMICALS PVT LTD	AACCS7368A	1	6610000	6610000	2.71	6610000.00	6610000.00	2.71		2.71	0	0.00	6610000		Promoter Group
6	Bodies Corporate	DHANKALASH TRADECOMM PRIVATE LIMITED	AADCD1886F	1	4000000	4000000	1.64	4000000.00	4000000.00	1.64		1.64	0	0.00	4000000		Promoter Group
7	Bodies Corporate	FORCEFUL VINCOM PRIVATE LIMITED	AABCF3799H	1	4000000	4000000	1.64	4000000.00	4000000.00	1.64		1.64	0	0.00	4000000		Promoter Group
8	Bodies Corporate	BANSHIDHAR TRADERS PRIVATE LIMITED	AADC8055E	1	4000000	4000000	1.64	4000000.00	4000000.00	1.64		1.64	0	0.00	4000000		Promoter Group
9	Bodies Corporate	INDERLOK DEALCOMM PRIVATE LIMITED	AACCI1061N	1	4000000	4000000	1.64	4000000.00	4000000.00	1.64		1.64	0	0.00	4000000		Promoter Group
10	Bodies Corporate	SWAN INTERNATIONAL LIMITED	AAECS4774Q	1	2340442	2340442	0.96	2340442.00	2340442.00	0.96		0.96	0	0.00	2340442		Promoter Group
	Click here to go back		Total	10	158413500	158413500	64.86	158413500.00	158413500.00	64.86		64.86	25321385	15.98	158413500		

Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X1)(a) As a % of (A+B+C2)	Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
						No of Voting (XIV) Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)			
						Class eg:X	Total								

A1(a)	Individuals/Hindu undivided Family														
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1	VINITA NIKHIL MERCHANT	AP2PM3319J	41500	41500	0.02	41500.00	41500.00	0.02		0.02	0	0.00	41500		Promoter
2	BHAVIK NIKHIL MERCHANT	APYPM9298M	41000	41000	0.02	41000.00	41000.00	0.02		0.02	0	0.00	41000		Promoter
3	NIKHIL VASANTLAL MERCHANT	AAEPM1722D	4000	4000	0.00	4000.00	4000.00	0.00		0.00	0	0.00	4000		Promoter
Click here to go back		Total	86500	86500	0.04	86500.00	86500.00	0.04		0.04	0	0.00	86500		

Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
significant beneficial owners																
Add Delete																
1	Nikhil V. Merchant	AAEPM1722D		India		DAVE IMPEX PRIVATE SWAN REALTORS	AAACD1314M		India		18.85	18.85		Yes	Yes	20-02-1992
2	Nikhil V. Merchant	AAEPM1722D		India		SWAN REALTORS	AAFCS3263D		India		17.03	17.03		Yes	Yes	20-02-1992
3	Nikhil V. Merchant	AAEPM1722D		India		SWAN ENGITECH	AAACS6331H		India		15.72	15.72		Yes	Yes	20-02-1992