

<b>General information about company</b>	
Scrip code	503310
Name of the company	SWAN ENERGY LIMITED
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Date of Report (For Prelisting / Allotment)	31-03-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	<b>Particular</b>	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	Yes
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes
7	Whether company has equity shares with differential voting rights?	No

<b>Table I - Summary Statement holding of specified securities</b>											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	13	158500000			158500000	71.66	158500000		158500000	71.66
(B)	Public	6193	62680000			62680000	28.34	62680000		62680000	28.34
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	6206	221180000			221180000		221180000		221180000	100

<b>Table I - Summary Statement holding of specified securities</b>										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. Of Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				71.66	16000000	10.09	39823000	25.12	158500000
(B)	Public				28.34	0	0			60913300
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total					16000000	7.23	39823000	18	219413300

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	3	86500			86500	0.04	86500		86500	0.04
(d)	Any Other (specify)	10	158413500			158413500	71.62	158413500		158413500	71.62
Sub-Total (A)(1)		13	158500000			158500000	71.66	158500000		158500000	71.66
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		13	158500000			158500000	71.66	158500000		158500000	71.66
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	1	916			916	0	916		916	0
(e)	Foreign Portfolio Investors	4	21392274			21392274	9.67	21392274		21392274	9.67
(f)	Financial Institutions/ Banks	8	1424936			1424936	0.64	1424936		1424936	0.64
(g)	Insurance Companies	3	5613090			5613090	2.54	5613090		5613090	2.54
Sub-Total (B)(1)		16	28431216			28431216	12.85	28431216		28431216	12.85
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	5880	4456177			4456177	2.01	4456177		4456177	2.01
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	1	1106852			1106852	0.5	1106852		1106852	0.5
(e)	Any Other (specify)	296	28685755			28685755	12.97	28685755		28685755	12.97
Sub-Total (B)(3)		6177	34248784			34248784	15.48	34248784		34248784	15.48
Total Public Shareholding											

(B)=(B)(1)+ (B)(2)+(B) (3)		6193	62680000			62680000	28.34	62680000		62680000	28.34
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		6206	221180000			221180000	100	221180000		221180000	100
Total (A+B+C )		6206	221180000			221180000		221180000		221180000	100

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. Of Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				0.04	0	0	0	0	86500
(d)				71.62	16000000	10.1	39823000	25.14	158413500
Sub-Total (A) (1)				71.66	16000000	10.09	39823000	25.12	158500000
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				71.66	16000000	10.09	39823000	25.12	158500000
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0	0	0			916
(e)				9.67	0	0			21392274
(f)				0.64	0	0			1330036
(g)				2.54	0	0			5612790
Sub-Total (B) (1)				12.85	0	0			28336016
( 3 )	Non-institutions								
(a(i))				2.01	0	0			2863177
(a(ii))				0.5	0	0			1106852
(e)				12.97	0	0			28607255
Sub-Total (B) (3)				15.48	0	0			32577284
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				28.34	0	0			60913300
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100	16000000	7.23			219413300
Total (A+B+C )					16000000	7.23	39823000	18	219413300

<b>Individuals/Hindu undivided Family</b>				
Serial No.	1	2	3	
Name of the Shareholders (I)	NIKHIL VASANTLAL MERCHANT	BHAVIK NIKHIL MERCHANT	VINITA NIKHIL MERCHANT	<a href="#">Click here to go back</a>
PAN (II)	AAEPM1722D	APYPM9298M	APZPM3319J	Total
No. of fully paid up equity shares held (IV)	4000	41000	41500	86500
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4000	41000	41500	86500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0.02	0.02	0.04
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg:X	4000	41000	41500	86500
Class eg:y				
Total	4000	41000	41500	86500
Total as a % of Total Voting rights	0	0.02	0.02	0.04
No. Of Shares Underlying Outstanding convertible securities (X)				
No. Of Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0	0.02	0.02	0.04
<b>Number of Locked in shares (XII)</b>				
No. (a)	0	0	0	0
As a % of total Shares held (b)	0	0	0	0
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>				



No. (a)	0	0	0	0
As a % of total Shares held (b)	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	4000	41000	41500	86500

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	SWAN REALTORS PRIVATE LIMITED	DAVE IMPEX PRIVATE LIMITED	SWAN ENGITECH WORKS PRIVATE LIMITED	DAVE LEASING AND HOLDINGS PRIVATE LIMITED	FORCEFUL VINCOM PRIVATE LIMITED	INDERLOK DEALCOMM PRIVATE LIMITED	SAHAJANAND SOAPS AND CHEMICALS PVT LTD
PAN (II)	AAFCS3263D	AAACD1314M	AAACS6331H	AABCD3185F	AABCF3799H	AACCI1061N	AACCS7368A
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	41589000	46030400	38402858	7440800	4000000	4000000	6610000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	41589000	46030400	38402858	7440800	4000000	4000000	6610000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	18.8	20.81	17.36	3.36	1.81	1.81	2.99
Number of Voting Rights held in each class of securities (IX)							
Class eg. X	41589000	46030400	38402858	7440800	4000000	4000000	6610000
Class eg.y							
Total	41589000	46030400	38402858	7440800	4000000	4000000	6610000
Total as a % of Total Voting rights	18.8	20.81	17.36	3.36	1.81	1.81	2.99
No. Of Shares Underlying Outstanding convertible securities (X)							
No. Of Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a %	18.8	20.81	17.36	3.36	1.81	1.81	2.99

of (A+B+C2)							
Number of Locked in shares (XII)							
No. (a)	0	0	0	0	4000000	4000000	0
As a % of total Shares held (b)	0	0	0	0	100	100	0
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)	15530000	24293000	0	0	0	0	0
As a % of total Shares held (b)	37.34	52.78	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	41589000	46030400	38402858	7440800	4000000	4000000	6610000

<b>Any Other (specify)</b>				
Serial No.	8	9	10	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	BANSHIDHAR TRADERS PRIVATE LIMITED	DHANKALASH TRADECOMM PRIVATE LIMITED	SWAN INTERNATIONAL LIMITED	
PAN (II)	AADCB8055E	AADCD1886F	AAECS4774Q	Total
No. of the Shareholders (I)	1	1	1	10
No. of fully paid up equity shares held (IV)	4000000	4000000	2340442	158413500
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4000000	4000000	2340442	158413500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.81	1.81	1.06	71.62
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	4000000	4000000	2340442	158413500
Class eg:y				
Total	4000000	4000000	2340442	158413500
Total as a % of Total Voting rights	1.81	1.81	1.06	71.62
No. Of Shares Underlying Outstanding convertible securities (X)				
No. Of Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.81	1.81	1.06	71.62

Number of Locked in shares (XII)				
No. (a)	4000000	4000000	0	16000000
As a % of total Shares held (b)	100	100	0	10.1
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)	0	0	0	39823000
As a % of total Shares held (b)	0	0	0	25.14
Number of equity shares held in dematerialized form (XIV)	4000000	4000000	2340442	158413500

Foreign Portfolio Investors					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	HYPNOS FUND LIMITED	EOS MULTI STRATEGY FUND LTD	ARES DIVERSIFIED	ALBULA INVESTMENT FUND LTD	<a href="#">Click here to go back</a>
PAN (II)	AACCH6785N	AADCE0686N	AAHCA2144B	AAHCA3597Q	Total
No. of fully paid up equity shares held (IV)	3805778	3092000	8758696	5735800	21392274
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3805778	3092000	8758696	5735800	21392274
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.72	1.4	3.96	2.59	9.67
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	3805778	3092000	8758696	5735800	21392274
Class eg:y					
Total	3805778	3092000	8758696	5735800	21392274
Total as a % of Total Voting rights	1.72	1.4	3.96	2.59	9.67
No. Of Shares Underlying Outstanding convertible securities (X)					
No. Of Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.72	1.4	3.96	2.59	9.67
Number of Locked in shares (XII)					
No. (a)	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0
Number of equity					

shares held in dematerialized form (XIV)	3805778	3092000	8758696	5735800	21392274
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<b>Insurance Companies</b>		
Serial No.	1	
Name of the Shareholders (I)	LIFE INSURANCE CORPORATION OF INDIA	<a href="#">Click here to go back</a>
PAN (II)	AAACL0582H	Total
No. of fully paid up equity shares held (IV)	5612790	5612790
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5612790	5612790
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.54	2.54
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	5612790	5612790
Class eg:y		
Total	5612790	5612790
Total as a % of Total Voting rights	2.54	2.54
No. Of Shares Underlying Outstanding convertible securities (X)		
No. Of Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.54	2.54
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in		



dematerialized form (XIV)	5612790	5612790
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<b>Any Other (specify)</b>					
Serial No.	1	2	3	4	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	
Name of the Shareholders (I)	KASTURI VINTRADE PRIVATE LIMITED	TIRUPATI AGENCIES PRIVATE LIMITED	NEEDFUL DEALCOMM PRIVATE LIMITED	SURYAKANCHAN VINIMAY PRIVATE LIMITED	<a href="#">Click here to go back</a>
PAN (II)	AADCK7022Q	AAACT3169Q	AADCN5282H	AANCS1976K	Total
No. of the Shareholders (I)					
No. of fully paid up equity shares held (IV)	7431309	7695000	5060000	5060000	25246309
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	7431309	7695000	5060000	5060000	25246309
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.36	3.48	2.29	2.29	11.41
<b>Number of Voting Rights held in each class of securities (IX)</b>					
Class eg: X	7431309	7695000	5060000	5060000	25246309
Class eg:y					
Total	7431309	7695000	5060000	5060000	25246309
Total as a % of Total Voting rights	3.36	3.48	2.29	2.29	11.41
No. Of Shares Underlying Outstanding convertible securities (X)					
No. Of Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.36	3.48	2.29	2.29	11.41

Number of Locked in shares (XII)					
No. (a)	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	7431309	7695000	5060000	5060000	25246309

