

<b>General information about company</b>	
Scrip code	503310
NSE Symbol	SWAENERGY
MSEI Symbol	NOTLISTED
ISIN	INE665A01038
Name of the entity	SWAN ENERGY LIMITED
Date of start of financial year	01-04-2019
Date of end of financial year	31-03-2020
Reporting Quarter	Quarterly
Date of Report	31-12-2019
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 500 listed entities

## Annexure I

## Annexure I to be submitted by listed entity on quarterly basis

## I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

Yes

of the ctor	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re- appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Nc prc !
shai C.	AABPD6262G	01787259	Non- Executive - Non Independent Director	Chairperson		25- 10- 1938	Yes	24-05- 2019	16-11-1998	16-11-1998			1	0	1	1	
V. ant	AAEPM1722D	00614790	Executive Director	Not Applicable		06- 07- 1960	NA		25-03-1992	25-03-1992			1	0	0	0	
V. ant	AAJPM7513K	00660027	Executive Director	Not Applicable		19- 09- 1963	NA		23-11-1998	23-11-1998			1	0	1	0	
nabhan nam	AAMPP4751B	03229120	Executive Director	Not Applicable		06- 06- 1945	NA		24-09-2010	24-09-2010			1	0	0	0	

### I. Composition of Board of Directors

#### Disclosure of notes on composition of board of directors explanatory

#### Whether the listed entity has a Regular Chairperson

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)
5	Mr	Rajkumar Sukhdevsinhji	AAMPJ1654K	00372612	Non-Executive - Independent Director	Not Applicable		06-08-1936	Yes	24-05-2019	29-09-2014	29-09-2019		60	2	2	2
6	Mr	Pitamber Teckchandani	ACUPT6610M	00319820	Non-Executive - Independent Director	Not Applicable		07-02-1938	Yes	24-05-2019	29-09-2014	29-09-2019		60	1	1	1
7	Mr	Shobhan I. Diwanji	AAEPD9990Q	01667803	Non-Executive - Independent Director	Not Applicable		18-08-1955	NA		29-09-2014	29-09-2019		60	2	2	2
8	Mr	Rajat kumar Dasgupta	AAAPD3186C	01725758	Non-Executive - Independent Director	Not Applicable		02-11-1936	Yes	24-05-2019	29-09-2014	29-09-2019		60	1	1	0

### I. Composition of Board of Directors

#### Disclosure of notes on composition of board of directors explanatory

#### Whether the listed entity has a Regular Chairperson

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number members in Audit Stakeholder Committee including listed entity (Refer Regulation 26(1) of Listing Regulations)
9	Mrs	Surekha Oak	AACPO3528R	07122776	Non-Executive - Independent Director	Not Applicable		25-09-1954	NA		13-03-2015	13-03-2015		60	1	1	2

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07122776	Surekha Oak	Non-Executive - Independent Director	Chairperson	30-03-2015		
2	01667803	Shobhan Diwanji	Non-Executive - Independent Director	Member	30-03-2015		
3	00372612	Rajkumar sukhdevsinhji	Non-Executive - Independent Director	Member	13-07-2016		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07122776	Surekha Oak	Non-Executive - Independent Director	Chairperson	13-07-2016		
2	01667803	Shobhan Diwanji	Non-Executive - Independent Director	Member	13-07-2016		
3	00319820	Pitamber Teckchandani	Non-Executive - Independent Director	Member	13-07-2016		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00660027	Paresh V. Merchant	Executive Director	Member	30-03-2015		
2	01787259	Navinbhai C. Dave	Non-Executive - Non Independent Director	Chairperson	13-07-2016		
3	07122776	Surekha Oak	Non-Executive - Independent Director	Member	30-03-2015		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00660027	Paresh V. Merchant	Executive Director	Chairperson	30-05-2019		
2	00372612	Rajkumar Sukhdevsinhji	Non-Executive - Independent Director	Member	30-05-2019		
3	07122776	Surekha Oak	Non-Executive - Independent Director	Member	30-05-2019		



Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00660027	Paresh V. Merchant	Executive Director	Chairperson	01-04-2014		
2	00319820	Pitamber Teckchandani	Non-Executive - Independent Director	Member	13-07-2016		
3	00372612	Rajkumar Sukhdevsinhji	Non-Executive - Independent Director	Member	13-07-2016		

<b>Other Committee</b>						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1							
Annexure 1							
III. Meeting of Board of Directors							
Disclosure of notes on meeting of board of directors explanatory				Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)				
1	14-08-2019				Yes		
2	11-09-2019		27		Yes		
3		12-11-2019	61		Yes	9	5

Annexure 1								
IV. Meeting of Committees								
Disclosure of notes on meeting of committees explanatory							Number of Directors present*	No. of Independent Directors attending the meeting*
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)		
1	Audit Committee	14-08-2019				Yes		
2	Audit Committee	12-11-2019	89			Yes	3	3
3	Stakeholders Relationship Committee	14-08-2019				Yes		
4	Stakeholders Relationship Committee	12-11-2019	89			Yes	3	1

<b>Annexure 1</b>			
<b>V. Related Party Transactions</b>			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	

<b>Annexure 1</b>		
<b>VI. Affirmations</b>		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

<b>Annexure 1</b>		
Sr	Subject	Compliance status
1	Name of signatory	Arun S. Agarwal
2	Designation	Company Secretary and Compliance Officer

<b>Signatory Details</b>	
Name of signatory	Arun S. Agarwal
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	13-01-2020



