

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Swan Energy Limited**
 2. Quarter ending - **30-Sep-2021**

i. Composition Of Board of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/Stakeholder Committee held in listed entities including this listed entity	Members hip in Committees of the Company
Mr.	Navinbhai C. Dave	01787259	AABPD6262G	C, NED		16-Nov-1998				25-Oct-1938	Yes	24-May-2019	1	0	1	1	SC
Mr.	Nikhil V. Merchant	00614790	AAEPM1722D	ED	MD	25-Mar-1992	11-Sep-2019			06-Jul-1960	NA		1	0	0	0	
Mr.	Paresh V. Merchant	00660027	AAJPM7513K	ED		23-Nov-1998	11-Sep-2019			19-Sep-1963	NA		1	0	1	0	SC, RC
Mr.	Padmanabhan Sugavanam	03229120	AAMPP4751B	ED		24-Sep-2010	28-Sep-2020			06-Jun-1945	NA		1	0	0	0	
Mr.	Rajkumar Sukhdevsinhji	00372612	AAMPJ1654K	ID		29-Sep-2014	29-Sep-2019		60	16-Aug-1936	Yes	24-May-2019	2	2	2	1	AC, RC
Mr.	Pitamber Teckchandani	00319820	ACUPT6610M	ID		29-Sep-2014	29-Sep-2019		60	07-Feb-1938	Yes	24-May-2019	1	1	0	0	NRC
Mr.	Shobhan I. Diwanji	01667803	AAEPD9990Q	ID		29-Sep-2014	24-Sep-2020		60	18-Aug-1955	NA		2	2	2	0	AC, NRC
Mr.	Rajat kumar Dasgupta	01725758	AAAPD3186C	ID		29-Sep-2014	29-Sep-2019		60	02-Nov-1936	Yes	24-May-2019	1	1	0	0	
Mrs.	Surekha Oak	07122776	AACPO3528R	ID		13-Mar-2015	13-Mar-2020		60	25-Sep-1954	NA		1	1	2	1	AC, SC, RC, NRC

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	Yes

ii. Composition of Committees**a. Audit Committee**

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Rajkumar Sukhdevsinhji	ID	Chairperson	13-Jul-2016	
2	Shobhan I. Diwanji	ID	Member	30-Mar-2015	
3	Surekha Oak	ID	Member	30-Mar-2015	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Navinbhai C. Dave	C,NED	Chairperson	13-Jul-2016	
2	Paresh V. Merchant	ED	Member	13-Mar-2015	
3	Surekha Oak	ID	Member	13-Mar-2015	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Paresh V. Merchant	ED	Chairperson	30-May-2019	
2	Rajkumar Sukhdevsinhji	ID	Member	30-May-2019	
3	Surekha Oak	ID	Member	30-May-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

d. Nomination and Remuneration Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Shobhan I. Diwanji	ID	Chairperson	13-Jul-2016	
2	Pitamber Teckchandani	ID	Member	13-Jul-2016	
3	Surekha Oak	ID	Member	13-Jul-2016	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
30-Jun-2021	10-Jul-2021	Yes	6	3
	13-Aug-2021	Yes	6	3
	21-Aug-2021	Yes	9	5

Company Remarks	
Maximum gap between any two consecutives (in number of days)	33

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors' present
Audit Committee	05-Apr-2021		Yes	3	3
Audit Committee	30-Jun-2021		Yes	3	3
Audit Committee		13-Aug-2021	Yes	3	3
Stakeholders Relationship Committee	30-Jun-2021		Yes	3	2
Stakeholders Relationship Committee		13-Aug-2021	Yes	3	2
Risk Management Committee	30-Jun-2021		Yes	3	2

Company Remarks	
Maximum gap between any two consecutives (in number of days) [Only for Audit Committee]	43

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholder's relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Name : **Arun S. Agarwal**
Designation : **Company Secretary & Compliance Officer**

ANNEXURE III

Affirmations

Broad Heading	Regulation Number	Compliance Status	Company Remark
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para-C of Schedule V	Yes	

Name : **Arun S. Agarwal**
Designation : **Company Secretary & Compliance Officer**